## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

N/A

Confirmation No. : N/A

Applicant

Hans-Juergen SCHMITT, et al.

Filed

March 17, 2004

TC/A.U.

N/A

Examiner

: N/A

Docket No.

028987.53043US

Customer No.

23911

Title

WIND PROTECTOR FOR A CONVERTIBLE

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97 and 1.98

## Mail Stop Patent Application

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the aboveidentified application.

## STATEMENT OF RELEVANCE

The relevance of reference DE 196 32 352 A1 to the subject matter of the present invention is given in the Background and Summary of the Invention in the specification of the present invention.

In compliance with the concise explanation requirement under 37 CFR §1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a corresponding foreign Search Report citing such documents, together with an

Serial No. N/A

IDS dated: March 17, 2004:

Attorney Docket No. 028987.53043US

English-language version (if not already included) of that portion of the Search

Report indicating the degree of relevance found by the foreign office.

The present Information Disclosure Statement is being filed (1) no later

than three months from the application's filing date or (2) before the mailing

date of the first Office Action on the merits (whichever is later), and therefore no

certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

The submission of the listed documents is not intended as an admission

that any such document constitutes prior art against the claims of the present

application. Applicant does not waive any right to take any action that would be

appropriate to antedate or otherwise remove any listed document as a competent

reference against the claims of the present application.

Respectfully submitted,

March 17, 2004

mes F. McKeown

Registration No. 25,406

CROWELL & MORING, LLP

Intellectual Property Group

P.O. Box 14300

Washington, DC 20044-4300

Telephone No.: (202) 624-2500

Facsimile No.: (202) 628-8844

JFM:kms

306330

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO				Complete if Known		
				Application Number	N/A	
	INFORMATION DIS	CLOS	URE	Filing Date	March 17, 2004	
STATEMENT BY APPLICANT				First Named Inventor	Hans-Juergen SCHMITT	
				Art Unit	N/A	
	(use as many sheets as	necessai	y)	Examiner Name	N/A	
Sheet	1	of	1	Attorney Docket Number	028987.53043US	

U.S. PATENT DOCUMENTS					
Examiner Initials'	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials'	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
	AA	DE 196 32 352 A1	08/10/1996	Porsche AG		
	AB	DE 100 35 995 A1	07/25/2000	ORIS Fahrzeugteile Hans Riehle GmbH		
	AC	DE 101 31 397 A1	06/28/2001	DaimlerChrysler AG		
	AD	EP 1 067 001 A2	07/07/2000	Wilhelm Karmann GmbH		<del>                                     </del>

Examiner	Date
Signature	Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.